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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/628,954	07/28/2003	Stefan Kusterer	34874-073 UTIL	6169

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MINTZ, LEVIN, COHN, FERRIS, GLOVSKY & POPEO, P.C.  
9255 TOWNE CENTER DRIVE  
SUITE 600  
SAN DIEGO, CA 92121

EXAMINER
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AUGUSTINE, NICHOLAS

ART UNIT	PAPER NUMBER
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2179

MAIL DATE	DELIVERY MODE
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05/21/2007

PAPER

**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

<b>Office Action Summary</b>	<b>Application No.</b> 10/628,954	<b>Applicant(s)</b> KUSTERER ET AL.	
	<b>Examiner</b> Nicholas Augustine	<b>Art Unit</b> 2179	

**-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --**

**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☒ Responsive to communication(s) filed on 02 March 2007.
- 2a) ☒ This action is **FINAL**.                      2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☒ Claim(s) 1-33 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-33 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
 Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
 Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

**Priority under 35 U.S.C. § 119**

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All    b) ☐ Some    \* c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

**Attachment(s)**

- |  |   |
|--|---|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892)                     | 4) <input type="checkbox"/> Interview Summary (PTO-413)           |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | Paper No(s)/Mail Date. _____                                      |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08)          | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| Paper No(s)/Mail Date _____  | 6) <input type="checkbox"/> Other: _____                          |

### DETAILED ACTION

- A. This action is responsive to the following communications: Amendment filed 3/02/2007. **This action is made final.**
- B. Claims 1-33 is pending. Claim 33 is new.

### *Claim Rejections - 35 USC § 102*

1. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

2. Claims 1-32 are rejected under 35 U.S.C. 102(e) as being anticipated by Polizzi (US 6,643,661 B2).

As for independent claims 1 and 22, Polizzi teaches a method and corresponding article comprising: uniting navigation hierarchies (categories) from different application sources (fig.3 and col.5, line 65); and providing a unified navigation area based on the united

navigation hierarchy (fig.10; wherein is depicted a graphical user interface where there is a homogenous view of the data source being displayed to the user at to which the user can select any one of the objects which represent different data sources from the dynamic menu as depicted in figure 10).

As for dependent claims 2 and 23, Polizzi teaches the method of claim 1 and corresponding article of claim 22, wherein uniting the navigation hierarchies comprises supplying a navigation service (service broker (125) col.9, line 54; wherein this agent controls the navigation of data on the portal page) with a navigation object model (fig.3) that provides, to a presentation layer, a homogeneous view of navigation information from the different application sources (fig.10; wherein this figure depicts the graphical user interface, where items 1035,1001,1080,1085,1010,1005 and the like are all items which pertain to the navigation categories or object models).

As for dependent claims 3 and 24, Polizzi teaches the method of claim 2 and corresponding article of claim 23, wherein uniting the navigation hierarchies (col.21, line 47) further comprises: accepting connectors for the different application sources (col.21, line 51; wherein the user interacts with a display button which the system accepts the connector for a different application source); and receiving the navigation information from the different application sources through the connectors according to the navigation object model (col.21, lines 58-59; wherein the user is inputting information regarding different application sources to which the data is being stored on a repository

in a hierarchy document object model for navigational purposes).

As for dependent claims 4 and 25, Polizzi teaches the method of claim 3 and corresponding article of claim 24, wherein accepting connectors for the different application sources comprises receiving a registration request from a connector for a given application (col.9, lines 3 and 11; wherein the user defined a link to an application source to which this link is stored on the repository), receipt of the registration request resulting in the navigation service having an identifier for the given connector (col.10, lines 50-51 and 56; wherein when a user adds an object, it will be placed in the repository with a unique identifiers), and said receiving the navigation information comprises receiving navigation nodes (col.10, lines 50-51), from the given connector, as defined by the navigation object model, the received navigation nodes including the connector identifier (col.10, line 56; wherein the objects are stored in a hierarchy on the repository which unique identifiers).

As for dependent claims 5 and 26, Polizzi teaches the method of claim 4 and corresponding article of claim 25, further comprising selecting a connector to contact based on a connector identifier from a navigation node in the united navigation hierarchy (col.9, line 56 and col.10, line 51; wherein the user can select any of the objects presented on the portal page (fig.10) at which the service broker (125) handles the request of calling the objects from the repository (235) wherein each object is identifiable with unique identifiers as understood to those skilled in the art. )

As for dependent claims 6 and 27, Polizzi teaches the method of claim 2 and corresponding article of claim 23, wherein providing the unified navigation area comprises displaying a navigation window in a portal presentation, the navigation window including navigation links to resources of the different application sources, the navigation links being organized according to the united navigation hierarchy (fig.10; wherein it is depicted of the organization of the user customized portable page at which each object is organized in a hierarchy (col.5, line 65), also note that the user as evident from figure 10 has a list objects to which are in hierarchy as depicted from item (1001)).

As for dependent claims 7 and 28, Polizzi teaches the method of claim 2 and corresponding article of claim 23, wherein the united navigation hierarchy comprises navigation nodes defined by the navigation object model, the method further comprising: receiving a navigation action; and changing at least one of the navigation nodes in accordance with the received navigation action (col.21, line 56; wherein the user is placing a navigation action to the service broker to change the portal page at which the action of editing and adding to the portable page as noted in above claims).

As for dependent claims 8 and 29, Polizzi teaches the method of claim 2 and corresponding article of claim 23, wherein uniting the navigation hierarchies further comprises merging at least two navigation objects from the different application sources based on a merge identifier (col.22, lines 33-36 and figure 10; wherein the user can

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merge object onto a display area as depicted by figure 10 and described as mentioned by reference in col.22).

As for dependent claims 9 and 30, Polizzi teaches the method of claim 8 and corresponding article of claim 29, wherein the united navigation hierarchy comprises a graph of linking relationships among navigation objects (wherein it is evident that the portal page can display a wide arrange of objects that included being of (presentation graphics, executable jobs such as brio reports, oracle reports, SAP reports to which is known in the art which can execute a graph showing linking relationships. Also note col.11, line 4 for the similar graphing of linking relationship.

As for dependent claims 10 and 31, Polizzi teaches the method of claim 2 and corresponding article of claim 23, wherein uniting the navigation hierarchies further comprises dynamically loading the united navigation hierarchy (col.23, line 46).

As for dependent claims 11 and 32, Polizzi teaches the method of claim 2 and corresponding article of claim 23, further comprising enabling setting of a node as a new root of the united navigation hierarchy for display (col.20, lines 61-62; wherein the user defines the root node of the object in the hierarchy to be displayed, such that when the user logs into the system they will be presented with the root node first, so thus by allowing to change this first display object is essentially changing the root node in the hierarchy, also note the above teachings of the hierarchy.

As for independent claim 12, Polizzi teaches a portal system having a presentation level (125) and a data level (235) (fig.2), the portal system comprising: a navigation service module including a navigation object model that provides a homogeneous view of navigation information from different application sources to the presentation level (note the analysis of claim 2); and navigation connectors that operate with the different application sources to provide the navigation information from the data level to the navigation service module (note the analysis of claim 3).

As for dependent claim 13, Polizzi teaches the portal system of claim 12, wherein the navigation connectors include connector identifiers that are included in navigation nodes generated by the navigation connectors to provide the navigation information (note the analysis of claim 4).

As for dependent claim 14, Polizzi teaches the portal system of claim 12, wherein the navigation connectors generate navigation nodes according to the navigation object model to provide the navigation information (note the analysis of claim 4), the navigation nodes including at least one merge identifier that indicates similar content in two navigation nodes from different applications and that results in a merger of the two navigation nodes (note the analysis of claim 8).

As for dependent claim 15, Polizzi teaches the portal system of claim 12, wherein the



navigation object model defines navigation nodes used to represent the navigation information from the different application sources, the navigation nodes including a linking relationship to other nodes that are not in a parent child relationship in the homogeneous view of the navigation information (personal dashboard, wherein on is displayed nodes which are apart of the linking relationship which are displayed to the user homogeneously by user custom tailored which depicted in figure 10 is non-parent child relationship. Also note that if the user decided to do so this could view much like a parent child relationship).

As for dependent claim 16, Polizzi teaches the portal system of claim 12, wherein the navigation service module is configured to read data from the different application sources using the navigation connectors but not to write data to the different application sources using the navigation connectors (col.9, line 3; as previously discussed Polizzi teaches the personal dashboard to be configured to display connectors to metadata from the internet in which turn this data is being read and not written to. He also explains how there are permissions that set certain rules on items one being the restriction of writing or deleting a connector).

As for dependent claim 17, Polizzi teaches the portal system of claim 12, wherein the navigation service module dynamically loads a united navigation hierarchy when providing the homogeneous view of the navigation information (note the analysis of claim 10).

As for dependent claim 18, Polizzi teaches the portal system of claim 17, wherein a role editor (which is user defined, that of nothing more than a control module as described by Polizzi in claim 11) allows setting a node as a new root of the united navigation hierarchy for display for users that belong to a role (note the analysis of claim 11).

As for independent claim 19, Polizzi teaches a system comprising: means for uniting navigation hierarchies from different application sources; and means for providing a unified navigation area based on the united navigation hierarchy (note the analysis of claim 1).

As for dependent claim 20, Polizzi teaches the system of claim 19, wherein the means for uniting the navigation hierarchies comprises a navigation object model that includes definitions of a connector interface to the different application sources and a navigation data interface to a presentation layer (note the analysis of claims 2 and 3).

As for dependent claim 21, Polizzi teaches the system of claim 20, wherein the means for uniting the navigation hierarchies further comprises INavigationService means for abstracting navigation operations, the connector interface comprises INavigationConnector means for plugging an application into the INavigationService means, and the navigation data interface comprises INavigationNode means for accessing navigation information from the different application sources (col.9, line 53;

the service agents: service broker, knowledge server, search server, crawl server, event server, authentication server, name server, job server, network server; wherein each of the above mentioned agents provide the means described in claim 21).

As for independent claim 33, Polizzi teaches A method comprising: initiating a combination of hierarchies created in multiple different applications into a united and consistent navigation hierarchy that allows a user to navigate through and access information from different applications using a single homogeneous interface (note the analysis of claim 1); accepting a first registration request from a first connector for a first application and establishing a first identifier for the first connector and a second registration request from a second connector for a second application and establishing a second identifier for the second connector; receiving navigation information comprising the first and the second identifiers from the first and second applications through the first and second connectors, respectively (note the analysis of claim 4; wherein the process can be repeated more than once as disclosed in the related citations, further information on event sever, sever broker and job server can be found in all of column 7 *for the best understanding*); and merging a first navigation object from the first application with a second navigation object from the second application, the first navigation object and the second navigation object being related to a same issue (figure 10; wherein depicted on the user portal page is homogeneous view of user modified information of categories, jobs, channels, bookmarks, billboards, exceptions, etc...).

**Note:** It is noted that any citation to specific, pages, columns, lines, or figures in the prior art references and any interpretation of the references should not be considered to be limiting in any way. A reference is relevant for all it contains and may be relied upon for all that it would have reasonably suggested to one having ordinary skill in the art. In re Heck, 699 F.2d 1331, 1332-33, 216 USPQ 1038, 1039 (Fed. Cir. 1983) (quoting In re Lemelson, 397 F.2d 1006, 1009, 158 USPQ 275, 277 (CCPA 1968)).

### ***Response to Arguments***

Applicant's arguments filed 03/02/2007 have been fully considered but they are not persuasive.

- *Applicant argues Polizzi fails to disclose a method of uniting navigation hierarchies from different application sources into a united navigation hierarchy.*
- Examiner does not agree with the Applicant. Applicant states "In Polizzi, different application source hierarchies are not united into a united navigation hierarchy. Rather, an internal hierarchy is stored in the data repository of the portal system (page 8 of amendment). Polizzi does not solely rely on gathering information from a central repository for a user to add content to users portal page, Polizzi

system makes use of a Knowledge server which is comprised of a search server and a crawl server each of which gathers information of different application from different areas that included within the portal system (i.e. the repository 235), or outside the portal system (i.e. on an intranet of the internet). Polizzi teaches wherein a plurality of information displayed in hierarchical manner to the user all in the same display area to the user at once (main user portal page, figure 10). Depicted on this page are subscribed jobs which reports various information to the user in a hierarchical manner as described in col.7. Along side of the subscribed jobs user can view on their portal page are exceptions which is an application for monitoring activates of the jobs, user defined to show varying events reaching a threshold to display useful information to the user. Also in addition to jobs and exceptions is the ability to the user to add channels wherein each channel represents different data sources displayed in a hierarchical manner (in categories as well) to the user on the user portal page as depicted in figure 10 and col.7. The portal page of the user is represented of the united navigation hierarchy as displayed to the user differing information from differing information sources from the repository (company portal) from the outside of the portal system (internet) being displayed to the user in hierarchical manner

all information pertaining to predefined watched material and user defined material (i.e. jobs, channels, bookmarks, exceptions, billboards, etc...) col.6-9. Thus Polizzi proves a method and system for uniting navigation hierarchies from different application *sources into a united navigation hierarchy.*

- *Applicant argues that Polizzi does not disclose or suggest that registration request is accepted from a connector for a given application.*
- Examiner disagrees, as explained above the user can subscribe to jobs (wherein the job relate to differing application in a company profile) the subscription to a job from the user portal page depicts the use of registration (i.e. subscription) of a service from the web portal page system to wherein the user can also subscribe to exceptions relating to the jobs themselves so that when certain thresholds are met valuable information is displayed to the user on the user portable page. Wherein the user registers (subscribes to a job) a registration of this is sent to a connector (event server) which then jobs are distributed with a service broker wherein execution is passed to appropriate job servers ("various job servers for various applications") col.6-7. Thus Polizzi provides an efficient means for a registration request that is accepted from a connector for a given application.

***Conclusion***

**THIS ACTION IS MADE FINAL.** Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire **THREE MONTHS** from the mailing date of this action. In the event a first reply is filed within **TWO MONTHS** of the mailing date of this final action and the advisory action is not mailed until after the end of the **THREE-MONTH** shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than **SIX MONTHS** from the mailing date of this final action.

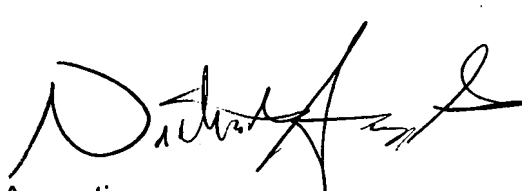
***Inquires***

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Nicholas Augustine whose telephone number is 571-270-1056. The examiner can normally be reached on Monday - Friday: 7:30- 5:00.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Weilun Lo can be reached on 571-272-4847. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

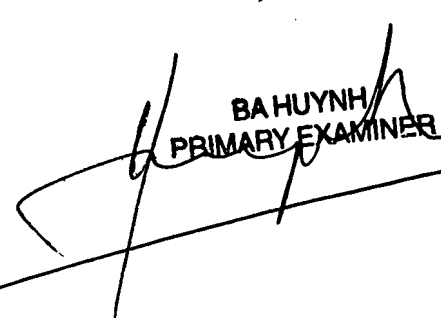
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Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.



N. Augustine  
May 9, 2007

Nicholas Augustine  
Examiner/  
AU: 2179



BA HUYNH  
PRIMARY EXAMINER